

01-17-06

TFW 1617 \$



Customer No. 28880

Confirmation No. 5646

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/868,120

Filing Date June 14, 2001

First Named Inventor David Thomas Dudley

Art Unit 1617

Examiner Name San Ming R. Hui

Attorney Docket Number 5968-01-SMH

## ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Certificate of Mailing by Express Mail
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Warner-Lambert Company LLC		
Signature	<i>Claude F. Purchase, Jr.</i>		
Printed name	Claude F. Purchase, Jr.		
Date	January 13, 2006	Reg. No.	47,871

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Signature			
Typed or printed name		Date	

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USPTO Fax No. 571-273-8300

Express Mail No. ED 941899923 US

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): David Thomas Dudley, et al.			Docket No. 5968-01-SMH
Serial No. 09/868,120	Filing Date 14-Jun-2001	Examiner San Ming R. Hui	Group Art 1617
<p>Invention:</p> <p>TREATMENT OF ARTHRITIS WITH MEK INHIBITORS</p> <div style="position: relative; height: 100px;"></div>			
<p>I hereby certify that this <u>Supplemental Information Disclosure Statement</u></p> <p style="text-align: center;"><small>(Identify type of correspondence)</small></p> <p>Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>January 13, 2006</u></p> <p style="text-align: center;"><small>(Date)</small></p> <div style="text-align: right; margin-top: 20px;"><p><u>Cindy Malocha</u> <small>(Typed or Printed Name of Person Mailing Correspondence)</small></p><p><u></u> <small>(Signature of Person Mailing Correspondence)</small></p><p><u>ED 941899923 US</u> <small>("Express Mail" Mailing Label Number)</small></p></div>			
<p><b>Note: Each paper must have its own certificate of mailing</b></p>			



09/868,120

1

5968-01-SMH

## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

APPLICATION NO. : 09/868,120 Confirmation No.: 5646  
APPLICANT(S) : David Thomas Dudley, et al.  
FILED : June 14, 2001  
ART UNIT : 1617  
EXAMINER : San Ming R. Hui  
DOCKET NO. : 5968-01-SMH  
CUSTOMER NO. : 28880

Mail Stop Amendment  
Commissioner for Patents  
PO BOX 1450  
Alexandria, VA 22313-1450  
FAX: (571) 273-8300

## REMARKS

Dear Sir:

This paper accompanies a supplemental information disclosure statement (IDS) under 37 CFR §§ 1.97-1.98 on forms PTO/SB/08A & 08B. In accordance with 37 C.F.R. § 1.97(c)(2), the Commissioner is hereby authorized to charge the fee under 37 C.F.R. § 1.17(p), and any additional fees that may be required, or credit any overpayment, to deposit account number 23-0455.

Applicants respectfully request that the Examiner consider carefully the complete text of the cited references in connection with examination of the above-identified application in accord with 37 CFR §1.104(a). It is respectfully requested that all cited reference(s) considered by the Examiner be listed in the "References Cited" portion of any patent issuing from the instant application (MPEP § 1302.12).

Applicants note that US 6,098,631; US 6,518,259; US 2003-0119789; and WO 1999/037298, which are cited in the IDS, are members of the same patent family.

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Express Mail No. ED 941899923 US

09/868,120

2

5968-01-SMH

Respectfully submitted,

Date: January 13, 2006

Claude F. Purchase, Jr.  
Claude F. Purchase, Jr.  
Reg. No. 47,871  
Pfizer Inc.  
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Tel. (734) 622-1692  
Fax (734) 622-1553

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**FEE TRANSMITTAL  
for FY 2005**

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$180.00)**Complete if Known**

Application Number	09/868,120
Filing Date	June 14, 2001
First Named Inventor	David Thomas Dudley
Examiner Name	San Ming R. Hui
Art Unit	1617
Attorney Docket No.	5968-01-SMH

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
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Deposit  
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23-0455

Warner-Lambert Company LLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$0.00)</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	\$0.00
Multiple Dependent	-3** =	X	\$0.00

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	44	Independent claims in excess of 3	
1203	300	2203	150	Multiple dependent claim, if not paid	
1204	88	2204	44	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$0.00)</b>

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES****Large Entity, Small Entity**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$180.00)**SUBMITTED BY**

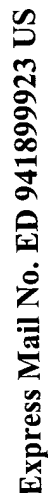
Name (Print/Type)	Claude F. Purchase, Jr.	Registration No. (Attorney/Agent)	47,871	Telephone	734-622-1692
Signature	<i>Claude F. Purchase, Jr.</i>	Date	January 13, 2006		

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	2
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**Complete if Known**

Application Number	09/868,120
Filing Date	June 14, 2001
First Named Inventor	David Thomas Dudley
Art Unit	1617
Examiner Name	San Ming R. Hui
Attorney Docket Number	5968-01-SMH

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
		WO 99/37298	07-29-1999	Holoshitz et al.		
		WO 99/61440	12-02-1999	Adams		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/868,120		
		Filing Date	June 14, 2001		
		First Named Inventor	David Thomas Dudley		
		Art Unit	1617		
		Examiner Name	San Ming R. Hui		
Sheet	2	of	2	Attorney Docket Number	5968-01-SMH

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		DUNCIA et al., "MEK INHIBITORS: THE CHEMISTRY AND BIOLOGICAL ACTIVITY OF U0126, ITS ANALOGS, AND CYCLIZATION PRODUCTS", Bioorganic & Medicinal Chemistry Letters, Vol. 8, 1998, pp 2839-2844	
		SCHERLE et al., "The Effects of IL-1 on Mitogen-Activated Protein Kinases in Rabbit Articular Chondrocytes", Biochemical and Biophysical Research Communications, Vol. 230, 1997, pp 573-577	
		MIGITA et al., "The effects of the immunosuppressant rapamycin on the growth of rheumatoid arthritis (RA) synovial fibroblast", Clin. Exp. Immunol., Vol. 104, 1996, pp 86-91	
		PILLINGER et al., "Modes of action of aspirin-like drugs: Salicylates inhibit Erk activation and integrin-dependent neutrophil adhesion", Proc. Natl. Acad. Sci. USA, Vol. 95, 1998, pp 14540-14545	
		LI and ZAFARULLAH, "Oncostatin M Up-Regulates Tissue Inhibitor of Metalloproteinases-3 Gene Expression in Articular Chondrocytes via De Novo Transcription, Protein Synthesis, and Tyrosine Kinase- and Mitogen-Activated Protein Kinase-Dependent Mechanisms", The Journal of Immunology, Vol. 161, 1998, pp 5000-5007	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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